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#3

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10035018	12/27/2001	Emilio DiSeno	1759.066

Victor A. Cardona  
Heslin Rothenberg Farley & Mesiti P.C.  
5 Washington Square  
Albany, NY 12203

CONFIRMATION NO. 9212

**FORMALITIES LETTER**



\*OC000000007385257\*

Date Mailed: 07/09/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$870** for a Large Entity

*A copy of this notice **MUST** be returned with the reply.*

09/11/2002 WARDELK1 00000090 10035018

01 FC:101 740.00 OP  
02 FC:105 130.00 OP

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
1759.066

In Re Application Of: **EMILE DiSERIO**

**COPY OF PAPERS  
ORIGINALLY FILED**

Serial No.  
10/035,018

Filing Date  
12/27/2001

Examiner  
Unassigned

Group Art Unit  
1722

Invention: **METHOD FOR MANUFACTURING MOLDED THEN FORGED PARTS COMPRISING ONE OR MORE RECESSES AND THE IMPLEMENTATION INSTALLATION THEREOF**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
07/09/2002  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

**Return-Receipt Postcard**

**Certified Copy of French Patent No. 00.17447, filed on December 27, 2000**

**Transmittal of Information Disclosure Statement (2 pages)**

**Information Disclosure Citation (1 page) with four (4) references**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
1759.066

In Re Application Of: **EMILE DiSERIO**

Serial No.  
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Unassigned

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1722

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$740.00

☐ Design application filing fee \_\_\_\_\_

☒ Total number of independent claims = 1 \_\_\_\_\_

☒ Total number of claims = 2 \_\_\_\_\_

☐ Multiple dependent claims \_\_\_\_\_

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_

☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_

☐ Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$870.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due \$870.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
1759.066

In Re Application Of: **EMILE DiSERIO**

Serial No.  
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Examiner  
Unassigned

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1722

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$870.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 08-1935  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 08-1935  
A duplicate copy of this sheet is enclosed.

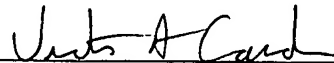
  
Signature

Dated: SEPT. 5, 2002

**VICTOR A. CARDONA, ESQ.**  
Attorney for Applicant - Registration No. 44,589

**HESLIN ROTHENBERG FARLEY & MESITI P.C.**  
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Fax: 518-452-5579

I certify that this document and fee is being deposited on  
09/ 5 /2002 with the U.S. Postal Service as first  
class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.



Signature of Person Mailing Correspondence

**VICTOR A. CARDONA**

Typed or Printed Name of Person Mailing Correspondence

CC: